

*Section*

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Patent Application of: )  
LI ET AL. )  
Serial No. 09/981,048/ ) Atty Docket No.  
Filing Date: OCTOBER 16, 2001 ) 78036 20-3 US  
Confirmation No. 6795 )  
For: COMPACT OPTICAL )  
MULTIPLEXER/DIMULTIPLEXER )

RESPONSE TO NOTICE TO FILE MISSING PARTS

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Responsive to the Notice to File Missing Parts  
mailed November 16, 2001, Applicants enclose the following:

- 1) Surcharge of \$130.00 for large entity.
- 2) Declaration and Power of Attorney.
- 3) Assignment to JDS Uniphase Corporation,  
together with \$40 recordal fee and cover page.
- 4) Copy of "Notice to File Missing Parts of  
Application--Filing Date Granted" mailed  
November 16, 2001.

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Authorization is given to charge Deposit Account 50-1465 in the amount of \$130.00 for the surcharge and \$40.00 for the assignment recordal fee. If any additional extension and/or fee is required, or if any additional fee for claims is required, charge Account No. 50-1465.



27975

PATENT TRADEMARK OFFICE

Respectfully submitted,

A handwritten signature in black ink, appearing to read 'Charles E. Wands', written over a horizontal line.

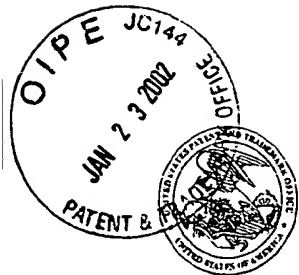
CHARLES E. WANDS

Reg. No. 25,649

**CERTIFICATE OF MAILING**

I hereby certify that this correspondence is being deposited with the United States Postal Service as First Class Mail in an envelope addressed to: ASSISTANT COMMISSIONER FOR PATENTS, PO BOX 2327, ARLINGTON, VA 22202, on this 15 day of January, 2002.

A handwritten signature in black ink, appearing to read 'Murphy', written over a horizontal line.



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/981,048	10/16/2001	Wu Li	78036 20-3US

CONFIRMATION NO. 6795

## FORMALITIES LETTER



27975  
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ORLANDO, FL 32802-3791

Date Mailed: 11/16/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION


FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*

  
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Initial Patent Examination Division (703) 308-1202

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